REGULAR COMMISSION MEETING

April 25, 2000

AGENDA

Location: Evergreen Plaza Building

711 Capitol Way, Room 203

Olympia, Washington

Note: All times shown for consideration of agenda items (other than time of convening) are approximate and may vary, depending on the amount of discussion required for each.

8:30 a.m. Minutes

Approval and adoption of the minutes of the special meeting of February 29, 2000.

Commissioner Comments/Concerns

Citizen Comments/Concerns

8:35 a.m. **Advisory Matter**

Reporting of forestry field trips under RCW 42.17.170 (lobbying) and RCW 42.17.241 (personal financial affairs).

9:00 a.m. Legislation

SB 6775 (relating to filing reports with PDC)

Supplemental Budget Request

9:30 a.m. Staff Reports

Acting Executive Director

Budget Status Report

Chief Information Technology Officer

IT Infrastructure Changes

Assistant Directors

Records & Administration

Compliance & Enforcement

Assistant Attorney General

Jean Wilkinson

Steve Reinmuth

Electronic Filing Project

Status of SDR Electronic Filing Project

Electronic Filing – Marketing and Training

12:00 p.m. LUNCH BREAK

1:15 p.m. <u>Enforcement Matters</u>

Requests for Reconsideration

- 1. 38th District Democrats, Case #99-080, found to have violated RCW 42.17.080 and .090 and assessed a penalty of \$2500.
- 2. *David Howell, Case #98-298*, found to have violated RCW 42.17.240 and .040 and assessed a civil penalty of \$150.
- 3. *Lee Renney, Case #98-310*, found to have violated RCW 42.17.240 and .040 and assessed a civil penalty of \$150.
- 4. David Ware, Case #00-191, found to have violated RCW 42.17.240 and assessed a civil penalty of \$50

Requests for Review

- 1. Dan Beckler, Case #00-293, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240 and .050.
- 2. Robert Deering, Case #00-378, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240 and .050.
- 3. *David G. Elkins, Case #00-407*, assessed a penalty of \$500 with \$450 suspensed for violating RCW 42.17.240 and .050.
- 4. *Donald H. Eller, Case #00-408*, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240.
- 5. *Dawn Ford, Case #00-430*, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240.
- 6. Andrew J. Gale, Case #00-437, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.050.
- 7. *James W. Greenway, Case #00-455*, assessed a penalty of \$50 for violating RCW 42.17.240 and .050.
- 8. Yvonne L. Greenway, Case #00-456, assessed a penalty of \$50 for violating RCW 42.17.240 and .050.
- 9. *James W. Hawley, Case #00-484*, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240 and .050.
- 10. *Debra Heinzman, Case #00-489*, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240.
- 11. Charles A. Jones, Case #00-532, assessed a penalty of \$50 for violating RCW 42.17.240.
- 12. Myron Meikle, Case #00-623, assessed a penalty of \$50 for violating RCW 42.17.050.

- 13. Wylie G. Mills, Case #00-629, assessed a penalty of \$150 with \$50 suspended for violating RCW 42.17.240.
- 14. Patricia A. Minnihan, Case #00-631, assessed a penalty of \$150 with \$50 suspended for violating RCW 42.17.050.
- 15. *Penny Lou Morris (White), Case #00-196*, assessed a penalty of \$150 with \$100 suspended for violating RCW 42.17.240.
- 16. David Muresan, Case #00-641, assessed a penalty of \$50 for violating RCW 42.17.240 and .050.
- 17. Karen Murphy, Case #00-643, assessed a penalty of \$50 for violating RCW 42.17.240.
- 18. Larry D. Peterson, Case #00-689, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.050.
- 19. *Duane W. Pounds, Case #00-709*, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.050.
- 20. Michael C. Resetar, Case #00-724, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.050.
- 21. *Martin D. Ringhofer, Case #00-731*, assessed a penalty of \$50 with \$50 suspended for violating RCW 42.17.240 and .050.
- 22. Dan R. Robinson, Case #00-734, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240.
- 23. Florence E. Scott (German), Case #00-752, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240.
- 24. Ronald B. Springer, Case #00-782, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.050.
- 25. *Michael J. Stoney, Case #00-793*, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240.
- 26. Kasey G. Studeman, Case #00-796, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240.
- 27. Lorianne Taff, Case #00-804, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.050.
- 28. *James M. Weiss, Case #00-840*, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240 and .050.
- 29. Louis E. Wolfrom, Case #00-857, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240 and .050.
- 30. Charles R. Woodruff, Case #00-858, assessed a penalty of \$50 with \$50 suspended for violating RCW 42.17.050.
- 31. *James A. Young, Case #00-865*, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.240.
- 32. Joan E. Young, Case #00-864, assessed a penalty of \$500 with \$450 suspended for violating RCW 42.17.050.

2:00 p.m. **Reporting Modifications**

New

- 1. *Jeannie Darneille*, candidate, WA St House of Representatives, requests not to report business customers of the Law Offices of H L Graham of which her husband is owner.
- 2. *Julia Garratt*, Board Member, Indeterminate Sentence Review Board, requests not to report residence address.
- 3. Dan Grausz, Mercer Island City Council, requests not to report payments received from business customers and governmental agencies for Holland America Line Westours Inc. of which he is Vice President and General Counsel.
- 4. *David Johnson*, Counsel, Senate Committee Services, requests not to report payments received from business customers and governmental agencies for Elliott Sales of which his spouse is an officer and director.

- 5. *Dan Kristiansen*, Candidate, State Representative, requests not to report payments received from business customers and other governmental agencies and real estate in which entity holds a financial interest for Western Wood Preserving Co.
- 6. *Gary Locke*, Governor, requests not to report payments received from business customers and other governmental agencies for the National Board for Professional Teaching Standards, of which he is a member of the board of directors.
- 7. *Dick Van Hollebeke*, Member, Edmonds City Council, requests not to report payments of business customers and governmental agencies for the Edmonds Community College Foundation of which he is on the board of directors.

Renewals (with changes)

- 1. *Kenneth Alhadeff*, Regent, WA State University, requests not to report payments and real estate holding of several civic organizations for which he serves various roles. He also requests not to report transactions for Key Bank for which he is an advisory board member.
- 2. *Jeffrey Brotman*, Regent, University of Washington, requests not to report listings of stocks, bonds and other intangible property as it is managed by money managers in Managed Portfolios.
- 3. *Robert Craves*, Board Member, Higher Education Coordinating Board, requests not to report the customers of Costco Wholesale, Seattle Preparatory School, Seattle University, Catholic University of America, Communities in Schools, Gonzaga University and Zion Prep Foundation.
- 4. *Richard A. Davis*, Regent, Washington State University, requests not to report the customers of entities where he is an officer or director.
- 5. *Gerald Grinstein*, Regent, University of Washington, requests not to report payments received from business customers and governmental agencies of several entities for which he and his spouse are officers or directors.
- 6. *Karen Lane*, Trustee, Evergreen State College, requests not to report the assets and investments of her spouse per a pre nuptial agreement.
- 7. *John Powers*, Candidate, Mayor of Spokane, requests not to report clients of the law firm Paine, Hamblen, Coffin, Brook & Miller LLP of which he is a partner. Such disclosure could place the firm at a competitive disadvantage.
- 8. *Cindy Zehnder*, Regent, University of Washington, requests not to report the names of reportable business customers of Hutchinson Cancer Research Center, of which she is a board member.

Renewals (no change)

- 1. *Gordon E. Budke*, Eastern WA University Board of Trustees, request not to report payments received from business customers and other governmental agencies for Partner's Growth Fund and Partner's Deferral Fund of which he is a minority owner.
- 2. *Mari J. Clack*, Regent, University of Washington, requests not to report the names of customers of certain nonprofit organizations, trusts in which she has no beneficial interest and those partnerships in which her husband is a partner.
- 3. *Gregory Dallaire*, Commission on Judicial Conduct, requests not to report the clients of Garvey Schubert & Barer of which he is managing director.
- 4. *Aubrey Davis*, Commissioner, WA Transportation Commission, requests not to report out-of-state customer of Gaco Western, Inc., of which he is a board member, Coastal Climate Co., of which he is and officer, and Group Health Cooperative, of which he is a board member.
- 5. *Barbara S. Fahey*, Mayor, City of Edmonds, requests not to report the customers of the Columbia Tower Club where her spouse serves as a director.
- 6. William H. Gates, Regent, University of Washington requests not to report the business customers of Mutual of America, Capital Management Corp., SeaMED Corp., and limited reporting of spouses' assets.
- 7. *Orlan Gessford*, School Director, Washougal School District, requests not to report the list of accounts of Washington Dental Service of which he is on the board of directors.

- 8. *Kirk J. Michels*, School Board Director, Grandview School District, requests not to report payments received from business customers for the accounting firm of Proebstel Michels CPA, of which he is fifty percent owner.
- Jeffrey Nitta, Commissioner, State Housing and Finance Commission, requests not to report
 payments received for Weyerhauser Real Estate Company of which he is vice president and
 treasurer.
- 2. *Michael Ogan*, Fire Commissioner, Chelan County Fire District #1, requests not to report the customers of Wenatchee Petroleum, Inc., of which he is primary stockholder.
- 3. *Michael Ormsby*, Eastern WA University Board of Trustees, requests not to report the clients and fees paid to his law firm.
- 4. *Jay A. Reich*, Board of Trustees, Central Washington University, requests not to report the names of the customers of Preston Gates & Ellis LLP of which he is a partner.
- 5. *Mark Thompson*, Commissioner, Black Lake Fire Protection District No. 5, requests not to report his address and property location due to security reasons since he is a senior deputy prosecuting attorney for the Thurston County Prosecuting Attorney's Office.
- 6. WA State School Directors' Association, requests for school board members who are also officers or directors of WSSDA, or who are liaisons to other public or non-profit entities, to not report information concerning payments received by WSSDA and certain expenses paid by WSSDA.
- 7. *Margaret Weiss*, School Board Member, Richland, requests not to report the customers of Siemens Power Corporation of which her spouse is an officer and director.
- 8. *Betty Woods*, Board of Trustees, Western Washington University, requests not to report payments received from business and other governmental agencies for Premera Blue Cross for which she is the Chief Executive Officer.

2:45 p.m. **Executive Session**

- 1. Discussion of pending and potential litigation with legal counsel
- 2. Employee performance review